



PTO/SB/81 (04-05)

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INDICATION FORM**

Application Number	10/813,521
Filing Date	March 29, 2004
First Named Inventor	Thomas K. MELLINA
Title	METHOD AND SYSTEM FOR EFFICIENTLY MATCHING LONG AND SHORT POSITIONS IN SECURITIES TRADING AND TRANSACTIONING A SERIES OF OVERNIGHT TRADES FOR BALANCE SHEET NETTING
Art Unit	3624
Examiner Name	To Be Assigned
Attorney Docket Number	SSB0003

I hereby revoke all previous powers of attorney given in the above-identified application.

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27510

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Name	Registration Number

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I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Signature		Date	11/11/2005
Name	Thomas K. Mellina	Telephone	212 723 6374
Title and Company	Director Citigroup Global Markets Inc.		

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.



PTO/SB/96 (09-04)

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Citigroup Global Markets, Inc.Application No./Patent No.: 10/813,521Filed/Issue Date: March 29, 2004Entitled: METHOD AND SYSTEM FOR EFFICIENTLY MATCHING LONG AND SHORT POSITIONS IN SECURITIES TRADING AND TRANSACTING A SERIES OF OVERNIGHT TRADES FOR BALANCE SHEET NETTINGCitigroup Global Markets, Inc., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title, and interest

The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 015174, Frame 0937, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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- ☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

Thomas K. Mellina

Printed or Typed Name

11/11/05

Date

212 723 6374

Telephone Number

Director

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.